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FKC-100US

2008 MAR 28 AM 10:44

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Appln. No: 09/640,103
Applicant: Patrick McErlean
Filed: August 15, 2000
Title: CLASSIFICATION OF ELECTRONIC MESSAGES USING A HIERARCHY OF
RULE SETS
TC/A.U.: 2143
Examiner: Alina A. Boutah
Docket No.: FKC-100US

OR



Patent No:
Issued:

REQUEST FOR REFUND

(Improper Charge of Deposit Account or Credit Card)

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

- ☐ This is a request for refund with respect to the charge to **Deposit Account No.** 18-0350 shown on the statement dated _____ for the above-identified ☐ application/☐ patent.

OR

- ☒ This is a request for refund with respect to the charge to **Credit Card Account No.** [REDACTED] 006 shown on the statement dated **March 20, 2008** for the above-identified ☒ application/☐ patent.



Accompanying this request is a copy of the monthly statement on which the referred error is listed.

II. FEES CHARGED FOR WHICH REFUND IS REQUESTED

		Amount of Refund Requested	
<input type="checkbox"/>	Filing Fee	\$	0
<input type="checkbox"/>	Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
AND/OR			
<input type="checkbox"/>	Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
<input checked="" type="checkbox"/>	Extension of time - 4 months	\$	820
<input type="checkbox"/>	Excess claims	\$	0
<input type="checkbox"/>	Issue Fee	\$	0
<input type="checkbox"/>	Petition Fee	\$	0
<input type="checkbox"/>	Patent Maintenance Fee - year	\$	0
<input type="checkbox"/>	Patent Maintenance Fee Surcharge	\$	0
<input checked="" type="checkbox"/>	Other (please explain) Appeal Brief Filed as a large entity	\$	255
TOTAL REFUND REQUESTED		\$	1075

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

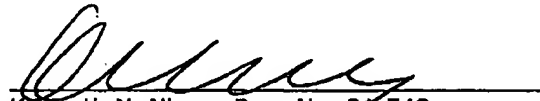
Applicant filed the application as a small entity. On March 5, 2008, Applicant filed an Appeal Brief Under 37 C.F.R. § 41.37 and a Petition For Extension (4 months) as a large entity. Accordingly a total refund in the about of \$1075.00 is requested.

IV. MANNER OF REFUND

Please make refund by:

- ☐ crediting Deposit Account No. 18-0350.
- ☒ crediting Credit Card Account No. 3785-715216-31006.
- ☐ refunding payment.

Respectfully submitted,



Kenneth N. Nigon, Reg. No. 31,549
Attorney(s) for Applicant(s)

KNN/pb

☒ Attachment: Copy of the monthly statement on which the referred error is listed

Dated: March 27, 2008

☒ P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

☐ P.O. Box 1596
Wilmington, DE 19899
(302) 778-2600

PB_H:\WRPORTBL\RP\PCBOCCELLA\265142_1.DOC

LOAD NUMBER: 090638
CONTROL ACCOUNT NUMBER: 3785-902655-91000
CORPORATE ID: 832788
SEQUENCE NUMBER: 695902650803

PATRICIA KELLY
RATNERPRESTIA

COPY CORPORATE PURCHASING CARD BILLING STATEMENT CC-1583



MEMBER ID: 123456789	TERMS: PAYABLE IN FULL WITHIN 14 DAYS AS PER YOUR CORPORATE CONTRACT	DUE DATE: 04/03/08
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TRANSACTION DETAILS

ACCOUNT NUMBER ACCOUNT NAME	SPENDING LIMIT/TYPE	EMPLOYEE ID/COST CENTER UNIVERSAL #	SERVICE ESTABLISHMENT NAME/ADDRESS	PROCESSING DATE	CURRENT ACTIVITY
3785-719216-31006 PATRICIA BOCCCELLA	\$9,000/TRN \$100,000/MTH	ID: 0	PREVIOUS BALANCE CORPORATE REMITTANCE RECEIVED 02/27		\$4,420.00 \$4,420.00-
			USPTO 000000001 ALEXANDRIA VA 999999980 09640103 22314 03/04/08 CM REF: 09640103 SUPPLIER REF: 99999998066	08/03/04	\$2,150.00
			USPTO 000000001 ALEXANDRIA VA 999999980 12066196 22314 03/07/08 CM REF: 12066196 SUPPLIER REF: 99999998071	08/03/07	\$1,140.00
			USPTO 000000001 ALEXANDRIA VA 999999980 10698646 22314 03/10/08 CM REF: 10698646 SUPPLIER REF: 99999998072	08/03/10	\$460.00
			USPTO 000000001 ALEXANDRIA VA 999999980 61035608 22314 03/11/08 CM REF: 61035608 SUPPLIER REF: 99999998073	08/03/11	\$210.00



Electronic Patent Application Fee Transmittal

Application Number:

09640103

Filing Date:

15-Aug-2000

Title of Invention:

Classification of electronic messages using a hierarchy of rule sets

Refund Ref:
03/31/2008

0030053115

Credit Card Refund Total: \$1075.00

Am Exp.: XXXXXXXXXXXX1006

First Named Inventor/Applicant Name:

Patrick McErlean

Filer:

Lawrence E. Ashery/Patricia Boccella

Attorney Docket Number:

FKC-100US

Filed as Large Entity

Utility Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Filing a brief in support of an ap	1402	1	510	510
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Adjustment date: 03/31/2008 HDESTA1
03/03/2008 INTFSM 00000023 09640103
01 FC:1254 -1640.00 OP
02 FC:1402 -510.00 OP
03/31/2008 HDESTA1 00000057 09640103
01 FC:2254 820.00 OP
02 FC:2402 255.00 OP

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 4 months with \$0 paid	1254	1	1640	1640
Miscellaneous:				
Total in USD (\$)				2150